Review

Adverse outcomes in outpatient anesthesia

F Chung

Western Division, Toronto Hospital, University of Toronto, Toronto, Ontario, Canada

Day surgery, with its lower hospital costs, is increasing rapidly. The major challenge facing outpatient anesthesia care is to give the perfect outpatient anesthetic so that our patients do not have any adverse outcomes. Assessment of risk in surgical outpatients has been extrapolated largely from experience with inpatients. Further studies of adverse outcomes in outpatient anesthesia are warranted.

Key words: Anesthesia: outpatients, adverse outcomes

Day surgery with its lower hospital costs, now accounts for 60–70% of surgery performed in North America. The major challenge facing outpatient anesthesia care is to maintain favourable patient outcomes. In order to provide optimal anesthesia and superb outcome, we must understand the adverse outcomes of outpatient anesthesia.

Outpatient mortality

In the past 30 years, the anesthetic-related death rate has been approximately 1–2 10 000⁻¹ inpatients receiving anesthesia¹. The overall death rate associated with outpatient surgical care has been substantially lower^{2–6}. A weakness in all of these studies is that they relied on voluntary retrospective reporting from different centres. Recently, the Federated Ambulatory Surgery Association data was updated to include 1.1 million ambulatory anesthetic cases. There were 17 deaths for an incidence of 0.15 10 000⁻¹ cases⁷. Therefore the mortality rate is lower in outpatient anesthesia.

Complications

A major complication is defined as an untoward response or abnormal condition having the potential for serious harm. A minor complication is defined as an

untoward response with minimal or no potential for serious harm⁸. Major complications include hemorrhage, infection, serious anesthetic complications, persistent nausea and vomiting and any medical problem that requires hospitalization. Minor complications include transient nausea and vomiting, weakness, headache, myalgia, sore throat and dizziness⁸.

Adverse outcomes: specific predictors

There are very few studies on complications after outpatient anesthesia. In a prospective study of 13 433 patients at a freestanding ambulatory surgical centre, 106 medical, surgical or anesthetic complications were identified in the patient population⁹.

In a study of 1553 outpatients, Meridy found that the surgical procedure and the extremes of age affected neither the duration of recovery nor the rate of complications¹⁰. The rate of intraoperative events was 50 1000⁻¹ anesthetics (5%). Significant swings in blood pressure were experienced by 1.6% of patients, 0.5% of patients were difficult to intubate and 1.3% of patients had respiratory-related events. The most common Postanesthesia Care Unit (PACU) event was nausea and vomiting, 7.3%. In the PACU, 0.3% of patients had considerable variation in blood pressure and 0.43% had a respiratory-related event¹¹.

Despite the rapid growth in ambulatory surgery, many traditional predictors of adverse anesthetic-related outcomes have not been examined critically in outpatients. Assessment of risk in surgical outpatients has been extrapolated largely from experience with inpatients¹². There is a need to do prospective outcome in outpatients.

Accepted: November 1993

Correspondence and reprint requests to: Dr F Chung, Western Division, Toronto Hospital, 399 Bathurst Street, Toronto, Ontario, Canada M5T 288

© 1994 Butterworth-Heinemann Ltd 0966-6532/94/010019-04

ASA physical status

Is American Society of Anesthesiologists (ASA) physical status a specific risk factor for outpatients? In a survey of complications among 112 000 adults, ASA physical status score was an independent predictor of intraoperative and major postoperative complications after controlling for age, gender, number of preoperative medical conditions, type of anesthesia and surgery¹³. However, there was no correlation between advanced ASA physical status and hospital admission^{9,14,15}.

Age

Is age a specific risk factor for outpatient anesthesia? Infants are at greater risk for anesthetic morbidity. Infants less than 1 year of age had a nine-fold higher risk of anesthetic morbidity than older children. At what point should infants be considered for outpatient surgery? Unfortunately, there is no available scientific data to assist the clinician on this issue. Each case must be decided based on the clinician's evaluation of the infant, the parents and the medical situation. Berry accepted full-term infants at 2 weeks¹⁶. Premature infants are not suitable for outpatient surgery as they are more prone to complications of apnoea and bradycardia than term infants¹⁷.

Are elderly patients at higher risk for outpatient anesthesia? Advanced age is independently associated with an increased likelihood of admission in ambulatory patients (odds ratio 2.6)¹⁴. In a survey of elderly patients undergoing outpatient cataract surgery with conscious sedation, the admission rate was 18%. The age of patients admitted to the hospital was significantly greater than those not requiring admission¹⁸. We will need more specific data on geriatric outpatients as increasing numbers of surgical procedures in the elderly are being done on an outpatient basis.

Preoperative medical conditions

Duncan et al. studied 6914 adult outpatients. The relationship between adverse events and preoperative factors were determined by using a multiple logistic regression that included age, sex, duration of the procedure, and the hospital care. Major morbid events were infrequent. Patients' preoperative disease was predictive of some intraoperative events relating to the same organ system, but not to events in the PACU. Patients with preoperative respiratory problems such as asthma or chronic obstructive pulmonary disease were at higher risk for intraoperative complications, especially lower respiratory events. Similarly, those with preoperative hypertensive diseases were more likely to have difficulties with blood pressure control¹¹. Patients judged obese, or inadequately fasted, were found to experience a greater rate of recovery problems as well as discomfort11.

Persistent symptoms after outpatient anesthesia

The majority of patients can be discharged within 1-2 h after outpatient anesthesia (80%). About 96% of patients can be discharged within 3 h after outpatient anesthesia. We studied 500 patients prospectively in our outpatient unit. Four per cent of patients had persistent symptoms delaying discharge. Patients who underwent surgical procedures (laparoscopy, arthroscopy, general surgery) had a six-fold increased risk of developing persistent symptoms as compared to patients who underwent cataract extraction or dilatation and curettage (D&C)¹⁹. The group with persistent symptoms had significantly longer duration of anesthesia as compared to the group with no persistent symptoms (68.2 \pm 6.0 min vs. 41.7 \pm 1.3 min)¹⁹.

The persistent symptoms delaying discharge were due to persistent pain, nausea/vomiting, hypotension, and unsteady gait¹⁹. Patients with persistent symptoms and who were subsequently sent home reported a significantly higher incidence of nausea/vomiting, dizziness, drowsiness, hoarseness, sore throat and incisional pain than patients who did not manifest persistent symptoms¹⁹.

Delayed discharge after outpatient anesthesia

In our prospective study of 500 patients discharged after outpatient anesthesia, we found that 54% had delayed discharge²⁰. The majority of delays were due to the patient's escort not being immediately available (50%). Some delays were due to recurrent pain after home-readiness criteria was met²⁰. Thus, better pain management and ensuring the immediate availability of a companion would ensure a more cost-effective ambulatory surgical unit²⁰.

24-hour adverse outcomes

In the healthy patient, minor adverse outcomes after outpatient anesthesia can be disturbing as this will affect daily living function and work readiness. We have studied the 24-h adverse outcomes of 777 patients. Incisional pain, headache, drowsiness and dizziness were the most common complaints reported occurring in 26.5, 11.6, 11.5 and 9.7% of cases, respectively. The incidence of nausea/vomiting, fever and injection site pain was found to be 7.1, 5 and 2.1%, respectively²¹.

We found that at least one adverse outcome was 3.5 times greater after general anesthesia, 2.5 times greater after a non-gynecological procedure and 1.8 times greater if anesthesia exceeded 60 min. Age, gender and ASA class were not found to be statistically significant²². The relationship of a number of demographic variables to common day surgery adverse outcomes are shown in Table 1.

Table 1. Adverse outcomes related to demographic variables

Multiple logistic regression Outcome event Factor Odds ratio		
	7 40107	
1. At least 1 complication	General anesthesia	3.5⁺
	Non-gyne procedure	2.5⁺
	Anes. duration > 60 min	1.8⁺
2. Nausea & vomiting	General anesthesia	4.4*
	Anes. duration > 60 min	2.3*
	Non-gyne procedure	2.1*
3. Pain, incision site	Non-gyne procedure	4.4 ⁺
	General anesthesia	3.7⁺
	Anes, duration > 60 min	1.9*
4. Dizziness	General anesthesia	13.2*
	Anes, duration > 60 min	3.5⁺
	Gender = female	2.7*
5. Drowsiness	Age < 50	16.7⁺
	Anes, duration > 60 min	2.6†
6. Fever	Anes, duration > 60 min	2.2*
7. Bleeding	Gyne procedure	3.41
	Anes. duration > 60 min	2.1*

^{*}P<0.05; †P<0.01

Unanticipated hospital admission

Unexpected hospital admission following ambulatory surgery has been used as an index of outpatient morbidity and complications. The incidence of unanticipated admission rates varies between 0.1 and 5%10,23. The Phoenix Surgicenter found that their admission rates increased from 0.2% overall to 0.6% for patients over the age of 64 yr²⁴, whereas Meridy retrospectively found no relationship between age, duration of anesthesia or PACU stay and the need for admission¹⁰. Patel and Hannallah reported an incidence of admission of 0.9%. One third of these admissions were for protracted vomiting²⁵. In a case control study among 9616 patients, factors identified to be associated with an increased likelihood of admission were general anesthesia, abdominal procedures, lengthy procedures, postoperative vomiting and age¹⁴. Thus hospital admission appears to be a function of the surgical procedure such as type of surgery, type of anesthesia and length of procedure. It may be related to age, but possibly not to ASA physical status.

Levy reported that unanticipated admission due to more extensive surgery than anticipated or surgical misadventure accounted for 63.2% of the admissions. Preexisting medical diseases and perioperative complications accounted for 19.9%. Anesthesia-related reasons such as persistent nausea and vomiting, and prolonged somnolence accounted for 12.2%, and social reasons, 4.7% of unanticipated admissions²³.

Conclusion

The safe and expeditious conduct of outpatient surgical care can only succeed by careful selection of patients and surgical procedures, appropriate intraoperative and postoperative anesthetic care and prudent and timely discharge of patients. The challenge is to give the perfect outpatient anesthetic so that our patients do not have any adverse outcomes.

References

- 1 Keenan RL. Improving anesthesia outcome. In: Problems in Anesthesia. Philadelphia: JB Lippincott, 1991; 5: 179-88
- 2 Driscoll EJ. ASOA anesthesia morbidity and mortality survey: J Oral Surg 1974; 32: 733
- 3 Lytle, JJ, Yoon C. Anesthesia morbidity and mortality survey: Southern California Society of Oral and Maxillofacial Surgeons. J Oral Surg 1980; 38: 814
- 4 Bruns K. Postoperative care and review of complications. Int Anesth Clin 1982; 20: 27
- Coplans MP, Curson I. Deaths associated with dentistry. Br Dent J 1982; 153: 357
- 6 Green RA, Taylor TH. An analysis of anesthesia medical liability claims in the United Kingdom, 1977–1982. Int Anesth Clin 1984; 22: 73
- 7 Natof HE. FAS survey results revised, as reported by Wetchler BV, Outpatient Anesthesia. No double standard. Anesth Patient Safety Found Newsl 1987; 2: 8
- 8 Natof HE, Complications. In: Wetchler BV ed. Anesthesia for Ambulatory Surgery. Philadelphia: JB Lippincott, 1990; 437–74
- 9 Natof HE. Complications associated with ambulatory surgery. J Am Med Assn 1980; 244: 1116-18
- 10 Meridy HW. Criteria for selection of ambulatory surgical patients and guidelines for anesthetic management. A retrospective study of 1,553 cases. Anesth Analg 1982; 61:
- 11 Duncan PC, et al. The Canadian four-centre study of anesthetic outcomes. III. Are anesthetic complications predictable in day surgical practice? Can J Anaesth 1992;
- 12 Gold BS, Orkin FK. In: Brown DL ed. Risk and Outcome in Anesthesia. Philadelphia: JB Lippincott, 1992; 511-27
- 13 Cohen MM, Duncan PC. Physical status score and trends in anesthetic complications. J Clin Epidemiol 1988; 41: 83
- 14 Gold BS, Kitz DS, Lecky JH, Neuhaus JM. Unanticipated admission to the hospital following ambulatory surgery. J Am Med Assn 1989; 262: 3008-10
- 15 Freeman LN, Schachat AP, Manolio TA, et al.

- Multivariate analysis of factors associated with unplanned admission in outpatient ophthalmic surgery. *Ophthal Surg* 1988; 19: 719
- 16 Berry FA. Peroperative assessment of pediatric outpatients. In: White PI ed. *Outpatient Anesthesia*. New York: Churchill Livingstone, 1991; 147–62
- 17 Steward DJ. Pre-term infants are more prone to complications following minor surgery than are term infants. *Anesthesiology* 1982; **56**: 304
- 18 Kareti RKP, Callahan H, Draper GA. Factors leading to hospital admission of elderly patients following outpatient eye surgery: A medical dilemma. *Anesth Analg* 1989; 68: S144
- 19 Chung F, Baylon GJ. Persistent symptoms delaying discharge after day surgery. Can J Anaesth 1993; 40: A21
- 20 Chung F, Baylon GJ. Home-readiness with post-

- anaesthetic discharge scores: A report on 500 cases. Can J Anaesth 1993; 40: A21
- 21 Chung F, Un V, Michaloliakou C. Adverse outcomes after outpatient anaesthesia. I. Description of methods, patient population and complications. *Can J Anaesth* 1993; **40**: A22
- 22 Chung F, Baylon GJ. Risk factors of adverse outcome after outpatient anesthesia. Phoenix: Society of Ambulatory Anesthesia, April 1993
- 23 Levy ML. Complications: prevention and quality assurance. *Anesth Clin North Am* 1987; 5: 137-66
- 24 Dawson B, Reed WA. Anaesthesia for adult surgical outpatients. Can J Anaesth 1980; 27: 409
- 25 Patel RI, Hannallah RS. Anesthetic complications following pediatric ambulatory surgery. A three year study. Anesthesiology 1988; 69: 1009

THE BRITISH ASSOCIATION OF DAY SURGERY 5TH ANNUAL GENERAL AND SCIENTIFIC MEETING KEELE CONFERENCE PARK, KEELE UNIVERSITY 1ST - 2ND JULY, 1994

The Association was founded in 1989 to provide a multidisciplinary forum for all health professionals with an interest in day surgery. In order to encourage the expansion of day surgery and to promote education, research and high quality-treatment in the field, the Association has organised a large number of seminars and meetings, together with its annual conference, on day surgery throughout the United Kingdom.

The Association provides advice on day surgery to the Royal College of Surgeons of England, the Department of Health, Regional and District Health Authorities, individual hospitals, private health insurers and other organisations. It publishes a quarterly magazine, *The Journal of One-Day Surgery* which is sent to members free of charge.

Over 400 members now attend the Annual General and Scientific Meeting, which includes an exhibition and social programme.

Deadline for Abstracts: 23rd April, 1994. Abstracts of 50-100 words are required and submission of the work for publication in *The Journal of One-Day Surgery* is requested. Abstracts should be sent to Dr T.W. Ogg, Chairman BADS, Day Surgery Unit, Addenbrookes NHS Trust, Cambridge CB2 2QQ. Tel: 0223 216 288. Fax: 0223 414 585.

For further information please contact the meeting secretariat: Confer International Limited, Lansdowne House, 3/7 Northcote Road, London SW11 1NG. Tel: +(0)71 978 4480. Fax: +(0)71 350 2587.